 Agenda

→ Welcome, Introductions and Meeting Format - Wendy Beaver
→ New Team Members – Maria Soliman
→ New Address for Grant Accounting – Maria Soliman
→ FY20 Single Audit – Maria Soliman
→ Post-Award Online Query Tool – Angie Klein
→ Update to Uniform Guidance – Maria Soliman
→ Foreign Influence Related Sponsor Requirements – Wendy Beaver
→ Pre-Award Data Reporting Tools – Wendy Beaver
→ Changes Ahead for NIH and Cayuse – Lynn Hudachek
→ DSP Central Mailboxes – Wendy Beaver
New Team Members

Quin Kavanaugh
New Address

University of Iowa
Grant Accounting Office
2410 UCC
201 S Clinton St
Iowa City, IA 52242-4034

Overnight mail/packages should be sent to Campus Mail at:
University of Iowa
Grant Accounting Office (2410 UCC)
2222 Old Hwy. 218 S
Iowa City, IA 52242
The FY20 Single Audit is underway. Please be responsive to the Grant Account team if questions arise.

Please direct any questions you may have to Maria Soliman.
Post-Award Online Query Tool
Post-Award Online Query Tool

- Replaces GrantDSS reports
- PowerBI format of the reports allows users to sort, filter search and export data as needed.
Post-Award Online Query Tool

→ Access

• Select Post Award Online Query Tool from your self-service page. The link is located under Business & Financial Systems>Financial Systems Tools>Data, Reports & Dashboards.
• Once in Campus Data you will see the following link to the reports:

  - Select *Post Award Online Query*
  - Select *PowerBI* and sign in using HawkID and password.
## Post-Award Online Query Tool

Crosswalk of the new reports and how they compare to the existing GrantDSS reports

<table>
<thead>
<tr>
<th>Grant DSS report</th>
<th>Post Award Online Query Report</th>
</tr>
</thead>
<tbody>
<tr>
<td>Free Balance Summary</td>
<td>Free Balance Summary</td>
</tr>
<tr>
<td>Grant Budget and Expenditures Summary (Pseudo FMS)</td>
<td>FMS Category Summary (FMS)</td>
</tr>
<tr>
<td>Grant Receipts and Expenditures Summary (Pseudo SGRE)</td>
<td>FMS Category Summary (SGRE)</td>
</tr>
<tr>
<td>Transaction Detail Statement</td>
<td>Transaction Detail Report</td>
</tr>
<tr>
<td>Cost Sharing Transaction Detail Statement</td>
<td>Transaction Detail Report</td>
</tr>
<tr>
<td>Grant Begin/End Date</td>
<td>Grant Begin and End Dates</td>
</tr>
</tbody>
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Uniform Guidance

New Uniform Guidance went into effect November 12, 2020

Please direct any questions you may have to the DSP or GAO Teams.
Terminology
Terminology

- Definitions are no longer numbered.
- CFDA is replaced with Assistance Listing
  - This should be on new awards
- SAM and Unique Entity Identifier (UEI)
  - SAM registration numbers will be replaced with UEIs
  - Delayed to 2022
  - Subrecipients will be required to have UEI
- Use of “must” vs. “should/may”
  - Must is a requirement
  - Should/may is a best practice or recommended approach
Terminology…

→ “Budget Period”
  • now identifies start and end dates for the authority to spend funds

→ Pre-Award Costs
  • “… costs must be charged to the initial budget period of the award…”

→ Subsequent Budget Periods
  • “subsequent budget periods are subject to the availability of funds, program authority, satisfactory performance, and compliance with the terms and conditions of the Federal award”
Terminology…

Termination

- agencies can terminate awards for reasons other than poor performance
- “…to the greatest extent authorized by law, if an award no longer effectuates the program goals or agency priorities…” this replaced “for cause”
- Orderly conclusion of project may not be able to occur based on the length of time to termination.
Direct Costs

- Prohibition on certain telecommunications and video surveillance services or equipment
  - Effective Aug. 2020
  - Part of the National Defense Authorization Act (NDAA)
  - Implemented based on national security concerns
  - Lists specific companies University of Iowa cannot contract with for telecommunications equipment or services
  - These costs are not allowable
Direct Costs

Telecommunications equipment and services continued

- Similar restrictions apply to federal grant and contract funding
- UI Information Security and Policy Office (ISPO) has confirmed that if you are only using University of Iowa IT provided equipment you are in compliance
- All technology used for university business, including research, is subject to the technology review process
- If you have questions or are using other equipment in performance of your project, contact Gabby Perez, Research Technology Compliance Specialist, 319-467-0003, gabriella-perez@uiowa.edu
Direct Costs

➡ Publication Costs
  • Can now be charged during the close-out period after the period of performance has ended
  • These charges are allowable in other budget periods

➡ Allowability - Allocation of Costs
  • Cost must be incurred during the approved budget period.
  • Spending between budget periods is less flexible
Closeouts
Closeouts

- Grants awardees have been granted an additional 30 days during the close out period from 90 to 120 days.

- Subrecipients must submit close out reports no later than 90 days after the performance end date.
  - UI will keep the closeout period for subrecipients at 60 days.

- Federal agencies still have 1 year for close-out of award.
  - Expect strict enforcement of the 120-day close-out period
  - Extension requests are unlikely to be granted
Foreign Influence Related Sponsor Requirements
Foreign Influence Related Sponsor Requirements

The University of Iowa is committed to principled collaboration with global academia, working together to foster scholarship, develop new knowledge and serve the public good.

New requirements, clarifications and expansions, increased attention from the federal government related to undue foreign influence

Federal concerns include:

• Diversion of intellectual property in applications or produced by federally funded research to other entities;
• Sharing of confidential information on grant applications by peer reviewers with others, including foreign entities, or otherwise attempting to influence funding decisions: and
• Failure by some researchers working at federally-funded institutions in the U.S. to disclose substantial resources from other organizations
Foreign Influence Related Sponsor Requirements

Conduct that violates Sponsor and Institutional Policies includes failure to disclose:

- Employment with external entities
- Financial support for research activities that may overlap with U.S. funding
- Shadow labs that parallel U.S. based research activities
- Financial Conflicts of Interest
- Conflicts of Commitment
Foreign Influence Related Requirements

- Sponsor terms include restrictions, notice or approval requirements for foreign collaborations and other foreign activity
- IT and Data security requirements
- Prohibition on IT services and equipment from designated companies
- Export control regulations
- Reporting of payments received from foreign entities or persons
Foreign Influence Related Requirements

- Notify DSP if you see new sponsor requirements or forms
  - Contact DSP early in the proposal development process
  - DSP may need to confer with other UI offices to complete these forms or manage compliance

- Additional certifications from the PI may be required for specific projects

- UI International Engagement web page includes links to UI policies and federal notices, UI Town Hall slides and recordings
UI Offices to Assist with Questions

- Specific Sponsor Requirements
- Restricted Party Screenings
- Outgoing Subaward Prior Approval
- Export Controls
- Other Support
- Sponsor Disclosure Requirements
- Identify Foreign Components
- Invention Reporting
- Conflict of Commitment
- Travel Policy
- IT Security
- University Human Resources
- Conflict of Interest in Research
- Visiting Scholars
- International Programs
- ITS
- University of Iowa Research Foundation
- Purchasing, Accounts Payable and Travel
- International Programs
- ITS—IT Security and Policy Office
- DSP—Division of Sponsored Programs
- COI—Conflict of Interest Office
- DSP—Division of Sponsored Programs
- University of Iowa Research Foundation
- University Human Resources
- University Human Resources
Pre-Award Data Reporting Tools
Pre-Award Data Reporting Tools

SPRIE is being decommissioned

• The SPRIE Simple Search & Research History Search have been transitioned to other resources
• The Simple Search has been replaced by the DSP Research Tracker or the DSP Portal in Campus Data for more complex reporting needs
• The data on the Research History Search can be found on the Investigator Profile (within Campus Data) on either the PI Profile and/or the Active & Pending report

• Consider using the DSP Research Tracker or the DSP Portal in Campus Data rather than the SPRIE Advanced Search
Pre-Award Data Reporting Tools

→ If you do not have access to the DSP reporting on Campus Data, you'll be prompted to request access when you select an item
  • PIs have access to their own PI Profile data in Campus Data
  • Research administrators and faculty with an administrative role and business justification can request access to the DSP Portal in Campus Data

→ Reporting tools include a snapshot of DSP Active and Pending Support data
  • Notify DSP if you have updates (e.g., notice of no funding)
  • It is the PI’s responsibility to verify that other support information is accurate and complete prior to submission to funding sponsors
  • The PI may be required to report additional information based on funding sponsor policies
Changes Ahead – NIH and Cayuse
Changes Ahead - NIH

- eRA Commons – new login and landing page launched Jan. 12, 2021 (new navigation).

- eRA Commons, ASSIST, Internet Assisted Review (IAR) and Commons Mobile switchover to the new two-factor authentication (2FA) login method - mandatory deadline of September 15, 2021.
Changes Ahead - NIH

2FA Resources

Guide Notice NOT-OD-21-040

- Two-factor authentication video tutorials:
  - Two-Factor Authentication: Accessing eRA Modules via login.gov
  - What to Do If You Already Have a login.gov Account
  - What to Do If You Want to Switch to a Different login.gov Account
  - One-page flyer
  - Two-Factor Authentication FAQs
  - eRA Commons Online Help
  - ASSIST Online Help

- Help
  - If you run into issues with login.gov or associating your login.gov account with your eRA account, please contact the eRA Service Desk at https://grants.nih.gov/support/index.html.
  - You can also refer to login.gov for additional help at https://www.login.gov/help/
Changes Ahead - NIH

→ New biosketch and Other Support formats – stay tuned!

Latest from Michelle Bulls, NIH, at last week's FDP meeting:

A Guide notice with key dates will be issued within the next two weeks, but the release of the forms/requirements will be in March and the compliance date is yet to be determined.
AHRQ Salary Cap – waiting for NIH Announcement

→ Salary Limitation on AHRQ FY2021 Grants, Cooperative Agreements, and Contracts (NOT-HS-21-007)
Agency for Healthcare Research and Quality

The Executive Level II salary level is $199,300.

- DSP and GAO will send announcements to campus when the NIH issues their salary cap notice.

IOWA
Changes Ahead - Cayuse

→ Cayuse 424 is now called Cayuse Proposals (S2S). New look and functionality features include updated application branding and faster proposal load times.

→ New Cayuse Platform (landing page) coming soon.
DSP Central Mailboxes
DSP Central Mailboxes

- nih@uiowa.edu – NIH & other PHS grants
- era@uiowa.edu – Other grants (Non-NIH/PHS)
- dsp-contracts@uiowa.edu – Contracts (funding & non-monetary)
- export-control@uiowa.edu – Export control
- dsp@uiowa.edu – Other

- If you email one central mailbox, DSP will refer to others as needed
  (no need to email multiple mailboxes with the same message or question)
- DSP Central mailboxes receive ~1,000 emails/week
- DSP FY20 Snapshot

- DSP Help Desk: 319-335-2123
Questions