

Research Administrator Meeting

Tuesday, October 16, 2018

10:00-12:00

348 IMU

Agenda:


1. DSP Updates
2. Research Development Office
3. Pre-award Process Overview
4. Outgoing MTAs
5. FDP DTUA Pilot
6. IowaGrants.gov
7. DSP Outreach
8. PI Transfer Form
9. Types of Subaward Agreements
10. GAO Updates
11. Universal Closeout Workbook
12. Grant Summary Reports
13. Meet DSP and GAO staff – immediately following in the lobby area

Research Administrators Meeting

Division of Sponsored Programs

October 16, 2018



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- DSP Updates – Wendy Beaver
 - Research Development Office – Aaron Kline
 - Pre-Award Process Overview – Wendy Beaver
 - Outgoing MTAs – Jessica Boyle
 - FDP DTUA Pilot – Jessica Boyle
 - IowaGrants.gov – Jessica Boyle
 - DSP Outreach – Wendy Beaver

DSP Staff Updates

- Contract Administrators -
Kurt Henderson, Melissa Shriver,
Kristen Stoll
- Sponsored Research Coordinator -
Budget and Contract Reviewer
Jeanne Towell
- Open search for Administrative
Services Coordinator
 - [Job Announcement](#)



F&A on State of Iowa Funding

- F&A on Fed \$ -> State -> UI
 - F&A Costs [Overview](#) and F&A Rate Decision Tool
 - Confirm funding source prior to budget submission (State or Federal \$)
 - New (competitive) or Non-competitive renewal
 - If federal, ask for CFDA number
 - Contact DSP to help determine the above
 - Route budgets to DSP prior to submission to the State
 - Contact DSP early in the process



DSP Pre-award External Funding Data New Reporting Solutions

- Pre-award External Funding Data BI Reports
 - Announcement and Phase 1 release later this fall
 - Contact Wendy Beaver to participate in testing





Research Development Office

DSP Pre-Award (Pre-Proposal) Services

- DSP [Quick Guide](#) to the external funding process
- **Find Funding**
 - Subscribe to [Grant Bulletin](#)
 - Check out [Funding Sources & Searches](#); set up personal funding alerts
- **Proposal Preparation and Review**
 - Contact DSP in advance with questions regarding sponsor requirements, forms, and proposal submission systems
 - [Frequently requested information](#)
 - Submit proposals and UI Proposal [Routing Form](#) to DSP 5 business days prior to submission deadline
- DSP provides institutional review, approval, and submission of proposals
- Route CDAs to DSP for institutional review and signature
- Subscribe to [Research Administration Dispatch](#) (RAD) for the latest updates

Outgoing MTAs

- Effective October 1, 2018, DSP began processing outgoing material transfer agreements (“OMTA”). These were previously handled by our University of Iowa Research Foundation (UIRF).
- Campus requests for OMTAs can be submitted through Wellspring Sophia (which is the current system UIRF uses for OMTA requests). UIRF will triage OMTA requests and provide information to DSP for further processing.
- The University is strongly encouraging the use of external repositories to facilitate the sharing of material coming from the University. For more information on external repositories and MTAs (both incoming and outgoing), see our DSP website: <https://dsp.research.uiowa.edu/material-transfer-agreements>

FDP DTUA Pilot

- Beginning on November 1, 2018, DSP will be one of several institutions participating in the Federal Demonstration Partnership (FDP) Data Transfer and Use Agreement (DTUA) Pilot.
- As a participant, DSP will be expected to use the FDP DTUA template for stand-alone DTUAs.
- DSP will be collecting quantitative metrics as part of the pilot on the use of the FDP DTUA template.

IowaGrants.gov

- Effective July 1, 2018, all Iowa Department of Public Health (IDPH) projects (new and renewals) must be entered and submitted using iowagrants.gov:
 - Other Iowa State agencies to follow at different timelines
 - Individual logins required
 - Departments enter all project information
 - DSP must review/submit
- Questions about the process or need help with our login information? Please contact Kathie Gonzales: Katherine-gonzales@uiowa.edu

DSP Outreach

- NIH Public Access: Managing Citations for RPPRs (workshop)
 - Oct. 23, 2018 Noon to 1:00 p.m.
 - 2189 MERF – no registration required
 - (this is a repeat of July 10, 2018 workshop)
- Intro to Creating a Budget for a Sponsored Research Project
 - Jan. 23, 2019 8:00 – 9:30 a.m.
 - 2520D UCC
 - Register through UI Learning & Development

DSP Outreach

- Contact DSP for smaller group meetings on topics of your choice
 - Processes (e.g., Working with DSP, Proposal submission)
 - Sponsor requirements (e.g., NIH, NSF, PCORI, Industry)
 - Compliance (e.g., Budgeting, Export Control)
 - Related Agreements (e.g., Outgoing Subawards, Data Use Agreements)
 - Your request . . .



Division of Sponsored Programs

- 2 Gilmore Hall
- Help Desk: 335-2123 dsp@uiowa.edu
- NIH@uiowa.edu - NIH related matters
- dsp-contracts@uiowa.edu - Contract related matters



Research Development Office

RAMS

October 16, 2018



Topics

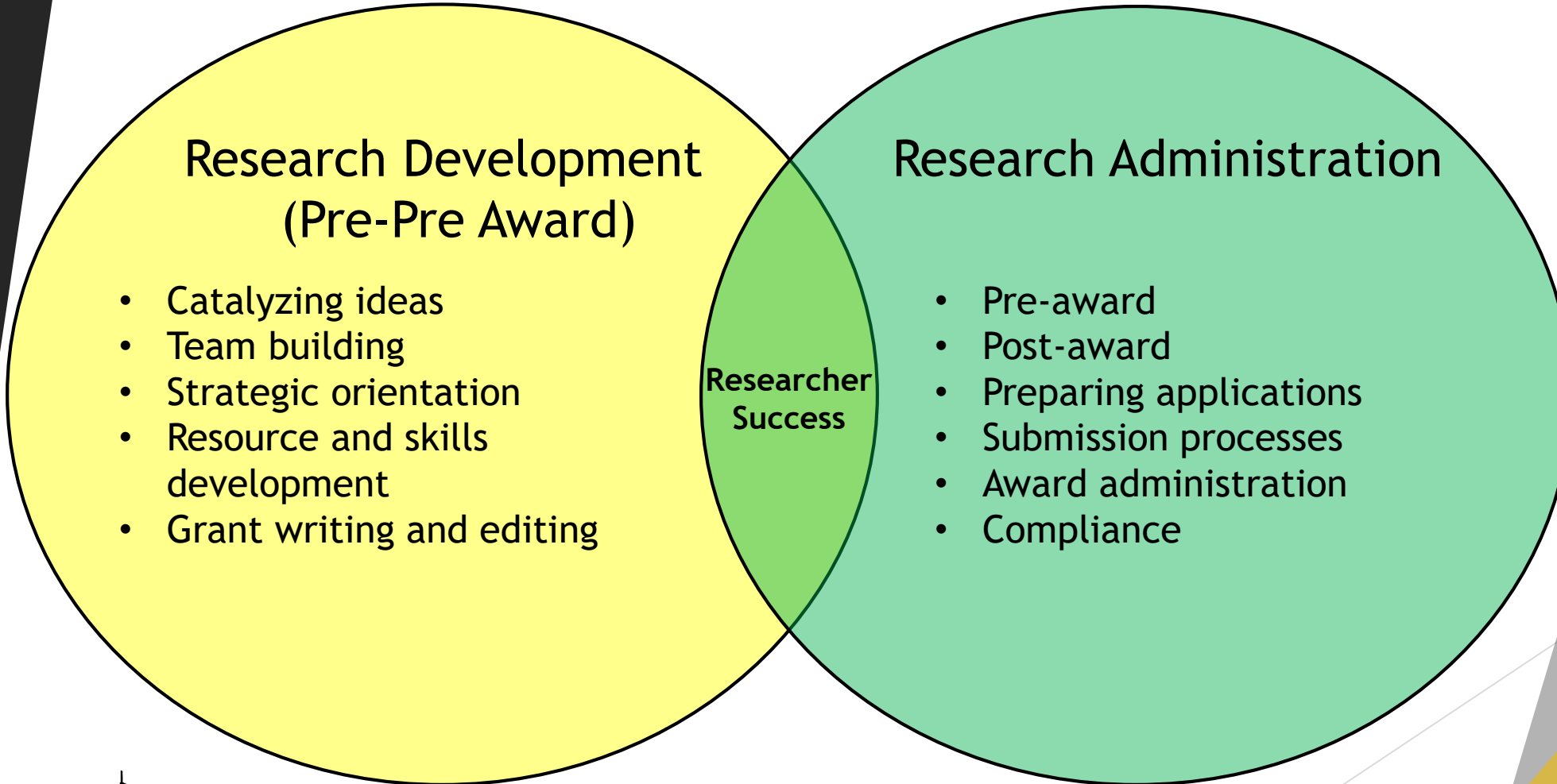
- ▶ Research Development
- ▶ Research Development and Research Administration
- ▶ Research Development Office (RDO)

What is Research Development?*

- ▶ Research Development encompasses a set of **strategic, proactive, catalytic, and capacity-building activities** designed to facilitate individual faculty members, teams of researchers, and central research administrations in **attracting extramural research funding, creating relationships, and developing and implementing strategies that increase institutional competitiveness.**
- ▶ Research Development professionals **initiate and nurture critical partnerships and alliances** throughout the institutional research enterprise and between institutions—and with their external stakeholders. With the goal of enabling competitive individual and team research and facilitating research excellence, Research Development professionals **build and implement strategic services and collaborative resources that span across disciplinary and administrative barriers** within their organizations and beyond.
- ▶ Research Development includes a broad spectrum of activities that vary by institution, including: **funding opportunity identification and targeted dissemination; grant/contract proposal development; research team building; interaction with funding agencies and institutional research administration and leadership; interaction with institutional federal relations; and outreach activities and training.**

***NORDP** Definition

Research Development and Research Administration*



Research Development Office

- ▶ New initiative in OVPR&ED (Spring 2017)
- ▶ Concierge service to help faculty submit...
 - ▶ More ambitious,
 - ▶ More collaborative, and
 - ▶ More successful research and scholarship project proposals

- ▶ **“As the landscape for research and scholarship support becomes ever more competitive, our faculty need assistance as they develop creative, bold, and collaborative strategies for discovery and innovation. We’re here to help them do that.”**

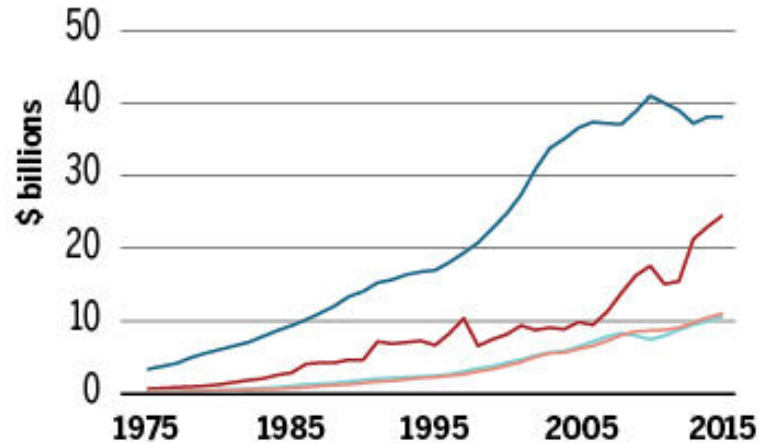
-John Keller, Interim Vice President for Research and Economic Development

Why the RDO?

A basic shift

Federal agencies provided less than half of U.S. basic science funding in 2015, a result of stagnant budgets and rising investment by industry, universities, and philanthropies.

■ Federal ■ Corporate ■ University ■ Philanthropy



Graphic: D. Malakoff/Science

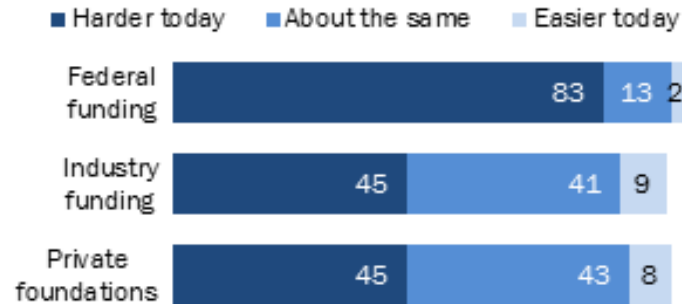
Data: National Science Foundation

*Billions of constant 2009 dollars

<http://www.sciencemag.org/news/2017/03/data-check-us-government-share-basic-research-funding-falls-below-50>

Most Scientists Say Finding Federal Funding Harder Today

% of AAAS scientists saying that compared with five years ago funding in their specialty area from each source is ...



AAAS scientists survey Sept. 11 - Oct. 13, 2014. Q9-11. Those giving no response are not shown.

PEW RESEARCH CENTER

http://www.pewinternet.org/2015/01/29/public-and-scientists-views-on-science-and-society/pi_2015-01-29_science-and-society-00-12/

What RDO Does



RDO Activity Examples (partners)

- ▶ Opioid Ideas Lab (College of Public Health, ICTS, Organizational Effectiveness)
- ▶ Speed Networking - Microfabrication and Mental Health (Microfab Facility, Carver College of Medicine)
- ▶ NIH R01 Writing Boot Camp
- ▶ Grant Writing Seminar (Carver College of Medicine)
- ▶ Informational Sessions (Division of Sponsored Programs)
 - ▶ Patient-Centered Outcomes Research Institute (PCORI)
 - ▶ National Science Foundation CAREER Workshop
 - ▶ University-Industry Demonstration Partnership Webinar Series
- ▶ Support Faculty Attendance at Funding Agency Conferences
 - ▶ National Institutes of Health (NIH)
 - ▶ NSF
 - ▶ PCORI
- ▶ Science on Tap (Hancher)
- ▶ Co-sponsored Computational Psychiatry Symposium (Informatics [UI3], Psychiatry, Iowa Neuroscience Institute)
- ▶ Resource Library
- ▶ Assistance with grant submissions

How can we partner with you?

RDO Activities - Coming Up

- ▶ Ideas Lab/Jumpstart - UI CREATES
- ▶ Grant Writing Seminar (Oct. 2018)
 - ▶ Inquiring into an NEH grant writing seminar for humanities
- ▶ Broader Impacts Support (National Alliance for Broader Impacts, April 8)
- ▶ Research Services Fair (Kinnick Press Box, April 11)
- ▶ [Science on Tap](#) (multiple dates)
- ▶ Faculty conference attendance
- ▶ NSF CAREER workshop
 - ▶ NIH K workshop?
- ▶ Continue building Resource Library
- ▶ RD Network

Research Development Network

- ▶ Create a community/network
 - ▶ Share best practices, expertise, strategies, and tools
 - ▶ Develop a resource library
 - ▶ Professional development
 - ▶ Connect faculty interested in forming interdisciplinary teams
- ▶ Biannual meetings (Monday, January 7th from 10am-1pm)

Questions?



Aaron Kline
Director, Research Development Office
Office of Vice President for Research and Economic Development
319-335-4142

Aaron-kline@uiowa.edu
<https://research.uiowa.edu/rdo>

October 16, 2018

Research Administrator Meeting

Agenda

- PI Transfer Form
- Outgoing Subaward Agreements
- GAO Updates
- Universal Closeout Workbook
- Grant Summary Reports

PI Transfer/Departure Form

- New form required if PI has active fund 500/510 projects and/or proposals routed, and they are:
 - Transferring to new institution/other employment, or
 - Terminating position at UI, or
 - Changing to adjunct or emeritus
- Form is initiated by Department
 - Should be submitted as soon as possible --- ideally, 60-90 days prior to PI departure
 - Available through DSP UI e-Forms:
<https://dsp.research.uiowa.edu/e-forms>
- Other forms/documents may be required:
 - Grant Transfer Form
 - Letter/Request to Sponsor
 - Retired, Adjunct or Visiting Professor as PI approval form

PI Transfer/Departure Form

- Form will route to Dept Research Admin, and then GAO for approval
 - GAO approval confirms accuracy of info provided in order to proceed with requested action
- Email notifications will be sent to DSP and other admin units as applicable

Note: Internal/Unfunded PI Transfer/Departure Form should be completed for non-fund 500/510 projects

Outgoing Subawards

DSP & GAO working on new tools/training materials:

- Types of Outgoing Agreements Matrix - comparing Consultant, Vendor, and Subrecipient
- Outgoing Subaward Agreement Type Considerations, including budget and payment expectations - cost reimb, fixed price, capitation
- Outgoing Subaward Training series

GAO Updates

- FY18 Single Audit – late 2018, early 2019

Universal Closeout Workbook

- Workbook format changing in January 2019
- New format will have fewer tabs, including:
 - One tab for all GAO questions for Dept
 - One tab for Dept to identify all pending adjs

Reminder: please complete/reply to questions within workbook & return to GAO by deadline

Grant Summary Reports

New Subaward Financial Data

- Expand subaward budget categories on Financial Summary tab to see Subaward Name and Expenditures
- Subaward Summary tab to see subaward information by Subaward (PO) Number
- Both downloadable to Excel

Reminder: Grant Summary Reports available through Self Service/Electronic Financial Reports/Grant Reports

Grant Report User Manual:

https://gao.fo.uiowa.edu/sites/gao.fo.uiowa.edu/files/wysiwyg_uploads/GAO%20EFR%20User%20Doc.pdf

Grant Summary Reports

Coming Soon - Cost Share Financial Data